Docket No.: VALTX.002A

APR 3 0 2004

## INFORMATION DISCLOSURE STATEMENT

Applicant

Jonathan Kagan et al.

App. No.

10/699,589

Filed

October 31, 2003

For

APPARATUS AND METHODS FOR

TREATMENT OF MORBID OBESITY

Examiner

Unknown

Group Art Unit

3762

I hereby certify that this correspondence and all marked attachments are being deposited with the United States Postal Service as first-class mail in an envelope addressed to: United States Patent and Trademark Office, PO Box 1450, Alexandria, VA 22313-1450, on

April 28, 2004

(Date)

ard von Hoffmann, Reg/Mo. 33,043

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Dear Sir:

Enclosed is form PTO-1449 listing 34 references. Copies of disclosed U.S. patents and/or publications are not included pursuant to PTO waiver of the requirement under 37 C.F.R. § 1.98(a)(2)(i) for applications filed after June 30, 2003. Copies of other references, if listed, are enclosed.

Identification herein is not an admission that any of the foregoing are prior art to the above captioned application.

This Information Disclosure Statement is being filed before the receipt of a first Office Action on the merits, and presumably no fee is required in accordance with 37 C.F.R. § 1.97(b)(3). If a first Office Action on the merits was mailed before the mailing date of this Statement, the Commissioner is authorized to charge the fee set forth in 37 C.F.R. § 1.17(p) to Deposit Account No. 11-1410.

Respectfully submitted,

KNOBBE, MARTENS, OLSON & BEAR, LLP

Dated: 4/28/04

Gerard von Hoffmann /

Registration No. 33,043

Attorney of Record

Customer No. 20,995

(949) 760-0404

FORM PTO 199

APR 3 0 2001 65

INFORMATION

RADEMARK

U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE

ATTY. DOCKET NO. VALTX.002A

APPLICATION NO. 10/699,589

INFORMATION DISCLOSURE STATEMENT
BY APPLICANT

(USE SEVERAL SHEETS IF NECESSARY)

APPLICANT
Jonathan Kagan et al.

FILING DATE October 31, 2003 GROUP 3762

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				U.S. PATENT DOCUMENTS			
EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE (IF APPROPRIATE)
	1	4,006,747	02/1977	Kronenthal et al.			
_	2	4,134,405	01/1979	Smit			
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	6	5,085,661	02/1992	Moss			
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	8	5,820,584	10/1998	Crabb			
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	10	6,254,642 B1	07/2001	Taylor			
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	17	2002/0082621 A1	06/2002	Schurr et al.			
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	19	2002/0188354 A1	12/2002	Peghini			
	20	2003/0040804 A1	02/2003	Stack et al.			<u>-</u>
	21	2003/0040808 A1	02/2003	Stack et al.			
-	22	2003/0065359 A1	04/2003	Weller et al.			
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EXAMINER

DATE CONSIDERED

\*EXAMINER: INITIAL IF CITATION CONSIDERED, WHETHER OR NOT CITATION IS IN CONFORMANCE WITH MPEP 609; DRAW LINE THROUGH CITATION IF NOT IN CONFORMANCE AND NOT CONSIDERED, INCLUDE COPY OF THIS FORM WITH NEXT COMMUNICATION TO APPLICANT.

**FORM PTO-1449** 

U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE

ATTY.	DOCKET	NO.
VAL.	TX.002A	

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APPLICANT Jonathan Kagan et al.

(USE SEVERAL SHEETS IF NECESSARY)

FILING DATE October 31, 2003

GROUP 3762

FOREIGN PATENT DOCUMENTS								
EXAMINER INITIAL		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
							YES	NO
	29	WO 80/00007	01/1980	WIPO 3				
	30	WO 03/086246 A1	10/2003	WIPO				
	31	WO 03/086247 A1	10/2003	WIPO				
	32	WO 03/094785 A1	11/2003	WIPO				
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	OTHER DOCUMENTS (INCLUDING AUTHOR, TITLE, DATE, PERTINENT PAGES, ETC.)						
		Antireflux operations at flexible endoscopy using endoluminal stitching techniques: an experimental study, Sritharan S. Kadirkamanathan et al., Gastrointestinal Endoscopy, Volume 44, No. 2, 1995 pp. 133-143					
	34	Endoscopic suturing, C. Paul Swain MD, Balliere's Clinical Gastroenterology, Vol. 13, No. 1. pp 97-108, 1999					

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